



08/28/01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002, OHS 0581-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 00-340

First Inventor Yenny V.R. Gonzalez et al.

Title POLYMER-ENHANCED FOAMABLE DRILLING FLUID

Express Mail Label No. EL394336769US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)

(Submit an original and a duplicate for the processing)

2. ☐ Applicant claims small entity status.

See 37 CFR 1.27.

3. ☒ Specification [Total Pages 21]

(Preferred arrangement set forth below)

- Descriptive title of the invention

- Cross Reference to Related Applications

- Statement Regarding Fed sponsored R & D

- Reference to sequence listing, a table,

or a computer program listing appendix

- Background of the invention

- Brief Summary of the invention

- Detailed Description

- Claim(s)

- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]5. ☒ Oath or Declaration [Total Pages 7]a. ☒ Newly executed (original or copy)Copy from a prior application (37 CFR 1.53 (d))
(for continuation/divisional with Box 18 completed)b. ☐i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s)

named in the prior application, see 37 CFR

1.53(c)(2) and 1.53(c).

6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patent,
Box Patent Application
Washington, DC 202317. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)a. ☐ Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ paperc. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))10. ☐ 37 CFR 3.73(b) Statement [Power of Attorney]

(when there is an assignee)

11. ☐ English Translation Document (if applicable)12. ☒ Information Disclosure [Copies of IDS]

Statement (IDS)/PTO-1449 Citations

13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)

(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)

(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122

(b)(2)(B)(i). Applicant must attach form PTO/SB/35

or its equivalent.

17. ☐ Other:18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application No. _____

Group Art Unit:

Prior application information:

Examiner:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 6b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

Name	GREGORY P. LAPOINTE			
Address	BACHMAN & LAPOINTE, P.C.			
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Name (Print/Type)	GREGORY P. LAPOINTE	Registration No. (Attorney/Agent)	28,395
Signature	<i>G. Lapointe</i>	Date	8-28-01

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number _____
 Filing Date _____
 First Named Inventor Yenny V.R. Gonzalez et al.
 Examiner Name _____
 Group Art Unit _____
 Attorney Docket No. 00-340

TOTAL AMOUNT OF PAYMENT

(\$ 768)

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge
- ~~the fee~~
- and credit any overpayments to:

Deposit Account Number 02-0184
 Deposit Account Name BACHMAN & LAPOINTE, P.C.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION1. **BASIC FILING FEE**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
197	450	207	245	Plant filing fee	
198	710	208	355	Release filing fee	
144	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$ 710)

2. **EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from	Fee Paid
21	20**	18	18
2	3**	0	0
Multiple Dependent		0	0

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	60	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	60	209	40	** Release independent claims over original patent	
110	18	210	9	** Release claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 18)

**For number previously paid, if greater; For Releases, see above

FEE CALCULATION (continued)3. **ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	360	216	165	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,690	228	845	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or release)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
149	710	249	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
SUBTOTAL (3)					(\$ 40)

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type) GREGORY P. LAPOINTE
 Signature

Registration No. (Attorney/Agent) 28,395

Complete (if applicable)

Telephone 203-777-6628
 Date 8-28-01

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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231

on August 28, 2001

Janice T. Stator (Proprietor)

Name and Reg. No. of Signer

Signature

Date of Signature 8/28/01

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